

# The Extremal Graphs for (Sum-) Balaban Index of Spiro and Polyphenyl Hexagonal Chains

YANG ZUO, YAQIAN TANG AND HANYUAN DENG\*

College of Mathematics and Statistics, Hunan Normal University, Changsha, Hunan 410081, P. R. China

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## ABSTRACT

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As highly discriminant distance-based topological indices, the Balaban index and the sum-Balaban index of a graph  $G$  are defined as  $J(G) = \frac{m}{\mu+1} \sum_{uv \in E} \frac{1}{\sqrt{D_G(u)D_G(v)}}$  and  $SJ(G) = \frac{m}{\mu+1} \sum_{uv \in E} \frac{1}{\sqrt{D_G(u)+D_G(v)}}$ , respectively, where  $D_G(u) = \sum_{v \in V} d(u, v)$  is the distance sum of a vertex  $u$  in  $G$ ,  $m$  is the number of edges and  $\mu$  is the cyclomatic number of  $G$ . They are useful distance-based descriptor in chemometrics. In this paper, we focus on the extremal graphs of spiro and polyphenyl hexagonal chains with respect to the Balaban index and the sum-Balaban index.

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## 1 INTRODUCTION

Polyphenyl and spiro hexagonal chains have been widely investigated, and they represent a relevant area of interest in mathematical chemistry because they have been used to study intrinsic properties of molecular graphs. Polyphenyls and their derivatives, which can be used in organic synthesis, drug synthesis, heat exchangers, etc., attracted the attention of chemists for many years [7, 8, 20, 21, 26, 28, 30]. Spiro compounds are an important class of cycloalkanes in organic chemistry. A spiro union in spiro compounds is a linkage between two rings that consists of a single atom common to both rings and a free spiro union is a linkage that consists of the only direct union between the rings. Several works have been developed to analyze extremal values and extremal graphs for many topological indices on the spiro and polyphenyl hexagonal chains. Some results on energy, Merrifield-Simmons index, Hosoya index, Wiener index and Kirchhoff index of the spiro and

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\*Corresponding Author (Email address: [hydeng@hunnu.edu.cn](mailto:hydeng@hunnu.edu.cn))

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polyphenyl chains were reported in [2, 9, 12, 13, 16, 17, 35, 32]. In this paper, we will consider the extremal values and the extremal graphs for the Balaban index and the sum-Balaban index on polyphenyl and spiro chains.

As a highly discriminant distance-based topological index, the Balaban index [3] was defined on the basis of the Randić formula but using distance sums for vertices instead of vertex degrees. The Balaban index is a variant of connectivity index, represents extended connectivity and is a good descriptor for the shape of the molecules. It shows a good isomer discrimination ability and produces good correlations with some physical properties, such as the motor octane number [6], and it appears in theoretical models for predicting and screening drug candidates in rational drug design strategies [22]. It is of interest in combinatorial chemistry. It turned out to be applicable to several questions of molecular chemistry.

Throughout this paper we consider only simple and connected graphs. For a graph  $G$  with vertex set  $V(G)$  and edge set  $E(G)$ . The distance between vertices  $u$  and  $v$  in  $G$ , denoted by  $d_G(u, v)$ , is the length of a shortest path connecting  $u$  and  $v$ . Let  $D_G(u) = \sum_{v \in V(G)} d(u, v)$ , which is the distance sum of vertex  $u$  in  $G$ .

The cyclomatic number  $\mu$  of  $G$  is the minimum number of edges that must be removed from  $G$  in order to transform it to an acyclic graph. Let  $|V(G)| = n$ ,  $|E(G)| = m$ , it is known that  $\mu = m - n + 1$ .

The Balaban index of a connected graph  $G$  is defined as

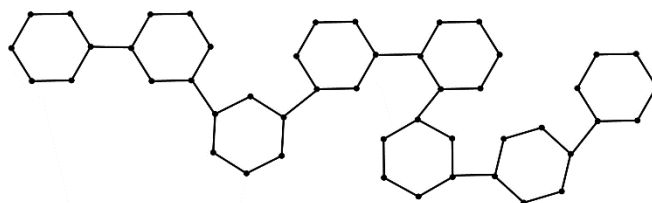
$$J(G) = \frac{m}{\mu+1} \sum_{uv \in E(G)} \frac{1}{\sqrt{D_G(u) \cdot D_G(v)}}.$$

It was introduced by A. T. Balaban in [3, 4], which is also called the average distance-sum connectivity or  $J$  index. It appears to be a very useful molecular descriptor with attractive properties. In 2010, Balaban et al. [5] also proposed the sum-Balaban index  $SJ(G)$  of a connected graph  $G$ , which is defined as

$$SJ(G) = \frac{m}{\mu+1} \sum_{uv \in E(G)} \frac{1}{\sqrt{D_G(u) + D_G(v)}}.$$

The Balaban index and the sum-Balaban index were used in various quantitative structure-property relationship and quantitative structure activity relationship studies. Until now, the Balaban index and the sum-Balaban index have gained much popularity and new results related to them are constantly being reported, see [1, 10, 11, 14, 15, 18, 19, 25, 27, 29, 31, 33, 34].

Let  $G$  be a cactus graph in which each block is either an edge or a hexagon.  $G$  is called a polyphenyl hexagonal chain if each hexagon of  $G$  has at most two cut-vertices, and each cut-vertex is shared by exactly one hexagon and one cut-edge. The number of hexagons in  $G$  is called the length of  $G$ . An example of a polyphenyl hexagonal chain is shown in Figure 1.

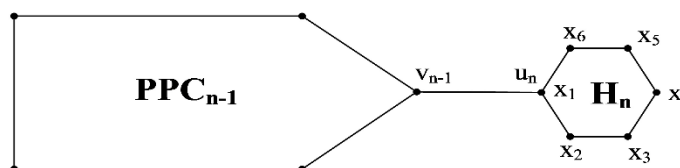


**Figure 1:** A polyphenyl hexagonal chain of length 8.

Let  $PPC_n = H_1H_2 \cdots H_n (n \geq 3)$  be a polyphenyl hexagonal chain of length  $n$ . There is a cut-edge  $v_{n-1}u_n$  between  $PPC_{n-1}$  and  $H_n$ , see Figure 2.

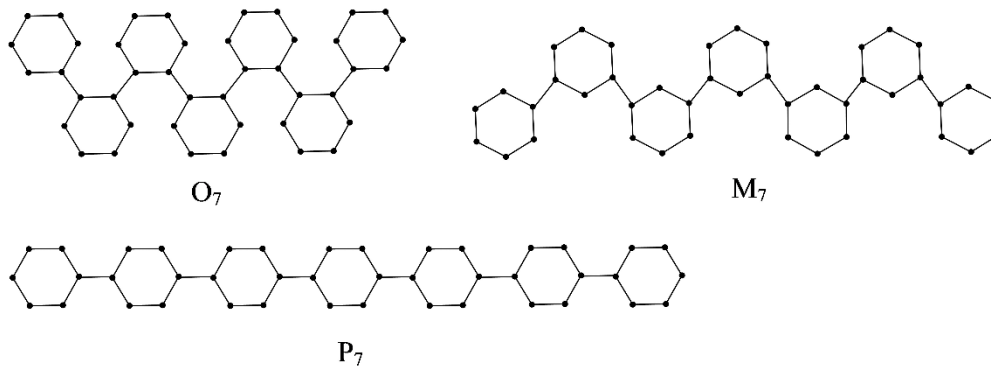
Note that any polyphenyl hexagonal chain of length  $n$  has  $6n$  vertices and  $7n - 1$  edges. A vertex  $v$  of  $H_k$  is said to be ortho-, meta-, and para-vertex if the distance between  $v$  and  $u_k$  is 1, 2 and 3, denoted by  $o_k$ ,  $m_k$  and  $p_k$ , respectively. Example of Figure 2,  $o_n = x_2, x_6$ ,  $m_n = x_3, x_5$ ,  $p_n = x_4$ . Obviously, every hexagon has two ortho-vertices, two meta-vertices and one para-vertex except the first hexagon  $H_1$ .

A polyphenyl hexagonal chain  $PPC_n$  is a polyphenyl ortho-chain if  $v_k = o_k$  for  $2 \leq k \leq n - 1$ . The polyphenyl meta-chain and polyphenyl para-chain are defined in a completely analogous manner.



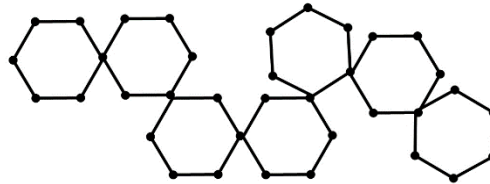
**Figure 2:** A polyphenyl hexagonal chain of length  $n$ .

The polyphenyl ortho-, meta-, and para-chains of length  $n$  are denoted by  $O_n$ ,  $M_n$  and  $P_n$ , respectively. Examples of polyphenyl ortho-, meta-, and para-chains are shown in Figure 3.



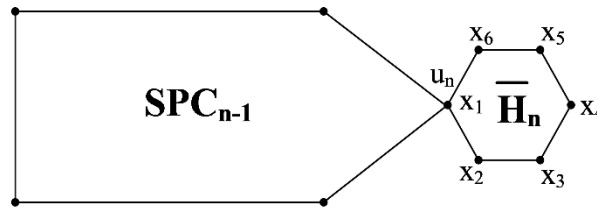
**Figure 3:** Polyphenyl hexagonal ortho-, meta-, and para-chains of length 7.

The definition of spiro hexagonal chain is same as definition of polyphenyl hexagonal chain. A hexagonal cactus is a connected graph in which every block is a hexagon. A vertex shared by two or more hexagon is called a cut-vertex. If each hexagon of a hexagonal cactus  $G$  has at most two cut-vertices, and each cut-vertex is shared by exactly two hexagons, then  $G$  is called a spiro hexagonal chain. The number of hexagon in  $G$  is called the length of  $G$ . An example of a spiro hexagonal chain is shown in Figure 4.



**Figure 4:** A spiro hexagonal chain of length 7.

Obviously, a spiro hexagonal chain of length  $n$  has  $5n + 1$  vertices and  $6n$  edges. Let  $SPC_n = \overline{H}_1 \overline{H}_2 \cdots \overline{H}_n (n \geq 3)$  be a spiro hexagonal chain of length  $n$ . There is a cut-vertex  $u_n$  between  $SPC_{n-1}$  and  $H_n$ , see Figure 5.



**Figure 5:** A spiro hexagonal chain of length  $n$ .

A vertex  $v$  of  $\overline{H}_k$  is said to be ortho-, meta-, and para- vertex if the distance between  $v$  and  $u_k$  is 1, 2 and 3, denoted by  $\overline{o}_k, \overline{m}_k$  and  $\overline{p}_k$ , respectively. A spiro hexagonal chain is a spiro ortho-chain if  $u_k = \overline{o}_k$  for  $2 \leq k \leq n$ . The spiro meta-chain and para-chains are defined in a completely analogous manner. The spiro ortho-, meta-, and para-chains of length  $n$  are denoted by  $SO_n, SM_n$  and  $SP_n$ , respectively.

The following lemmas will be used in the next section.

**Lemma 1** ([14]) *Let  $x, y, a \in R^+$  such that  $x \geq y + a$ . Then  $\frac{1}{\sqrt{xy}} \geq \frac{1}{\sqrt{(x-a)(y+a)}}$  with equality if and only if  $x = y + a$ .*

**Lemma 2** ([15]) *Let  $r_1, t_1, r_2, t_2 \in R^+$  such that  $r_1 > t_1$  and  $r_2 - r_1 = t_2 - t_1 > 0$ . Then  $\frac{1}{\sqrt{r_1}} + \frac{1}{\sqrt{t_2}} < \frac{1}{\sqrt{r_2}} + \frac{1}{\sqrt{t_1}}$*

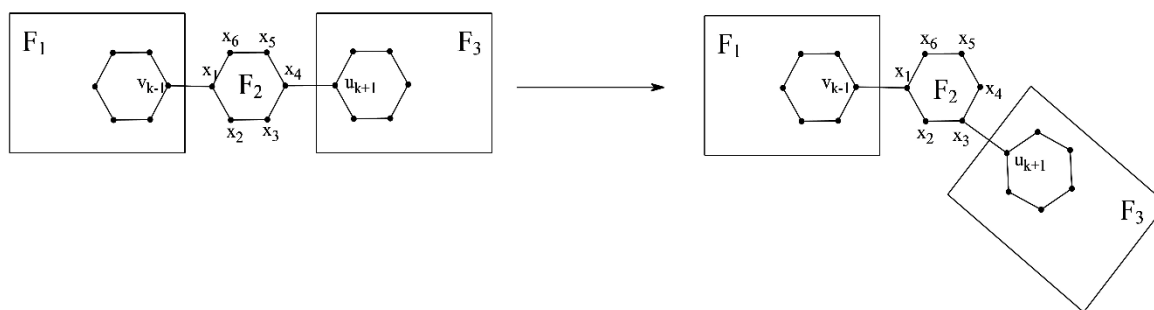
**Lemma 3** ([14]) *Let  $a, w, x, y, z \in R^+$  such that  $\frac{a}{x} \geq \frac{a}{w}, \frac{a}{y} \geq \frac{a}{z}$ . Then*

$$\frac{1}{\sqrt{(w+a)(z+a)}} + \frac{1}{\sqrt{xy}} \geq \frac{1}{\sqrt{wz}} + \frac{1}{\sqrt{(x+a)(y+a)}}.$$

## 2. (SUM-) BALABAN INDEX OF POLYPHENYL HEXAGONAL CHAINS

In this section, we first give two cut-edge transformations on  $PPC_n$ , and then determine the extremal graphs by using the transformations.

**The first cut-edge transformation on  $PPC_n$ :** Let  $G_n = H_1H_2 \cdots H_n (n \geq 3)$  be a polyphenyl hexagonal chain of length  $n$ .  $x_1$  and  $x_4$  are two cut-vertices in the  $k$ -th hexagon  $H_k$ , and the distance between  $x_1$  and  $x_4$  is 3. If  $G'$  is the graph obtained from  $G$  by deleting the cut edge  $x_4u_{k+1}$  between  $H_k$  and  $H_{k+1}$ , and adding a new cut-edge  $x_3u_{k+1}$  between  $H_k$  and  $H_{k+1}$  (see Figure 6), then we say that  $G'$  is obtained from  $G$  by the first cut-edge transformation.



**Figure 6:** The first cut-edge transformation.

**Lemma 4** Let  $G_n = H_1H_2 \cdots H_n (n \geq 3)$  be a polyphenyl hexagonal chain of length  $n$ .  $G'$  is obtained from  $G$  by the first cut-edge transformation. Then  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ .

**Proof.** Let  $F_1 = H_1H_2 \cdots H_{k-1}$ ,  $F_2 = H_k$ ,  $F_3 = H_{k+1}H_{k+2} \cdots H_n$ . The length of  $F_1$  is  $a = k - 1$  and the length of  $F_3$  is  $b = n - k$ . Obviously,  $a + b = n - 1$ . Without loss of generality, let  $a \geq b$ . For a vertex  $v_x \in F_1$ , we have

$$\begin{aligned} D_G(v_x) &= \sum_{u \in F_1} d_G(v_x, u) + \sum_{u \in F_2} d_G(v_x, u) + \sum_{u \in F_3} d_G(v_x, u), \\ D_{G'}(v_x) &= \sum_{u \in F_1} d_{G'}(v_x, u) + \sum_{u \in F_2} d_{G'}(v_x, u) + \sum_{u \in F_3} d_{G'}(v_x, u) \\ \sum_{u \in F_1} d_G(v_x, u) &= \sum_{u \in F_1} d_{G'}(v_x, u), \\ \sum_{u \in F_2} d_G(v_x, u) &= \sum_{u \in F_2} d_{G'}(v_x, u), \\ \sum_{u \in F_3} d_G(v_x, u) &= \sum_{u \in F_3} d_{G'}(v_x, u) + 6b. \end{aligned}$$

So,  $D_G(v_x) - D_{G'}(v_x) = 6b$  and  $D_G(v_x) > D_{G'}(v_x)$ . For a vertex  $v_y \in F_3$ , we have

$$\begin{aligned} D_G(v_y) &= \sum_{u \in F_1} d_G(v_y, u) + \sum_{u \in F_2} d_G(v_y, u) + \sum_{u \in F_3} d_G(v_y, u), \\ D_{G'}(v_y) &= \sum_{u \in F_1} d_{G'}(v_y, u) + \sum_{u \in F_2} d_{G'}(v_y, u) + \sum_{u \in F_3} d_{G'}(v_y, u). \end{aligned}$$

Furthermore,

$$\begin{aligned} \sum_{u \in F_1} d_G(v_y, u) &= \sum_{u \in F_1} d_{G'}(v_y, u), \\ \sum_{u \in F_2} d_G(v_y, u) &= \sum_{u \in F_2} d_{G'}(v_y, u), \\ \sum_{u \in F_3} d_G(v_y, u) &= \sum_{u \in F_3} d_{G'}(v_y, u) + 6a. \text{ So, } D_G(v_y) - D_{G'}(v_y) = 6a \\ D_G(v_y) &> D_{G'}(v_y). \end{aligned}$$

For a vertex in  $V(F_2) = \{x_1, x_2, x_3, x_4, x_5, x_6\}$ , it is easy to see that  $D_G(x_1) - D_{G'}(x_1) = D_G(x_2) - D_{G'}(x_2) = D_G(x_3) - D_{G'}(x_3) = 6b$ ,  $D_{G'}(x_4) - D_G(x_4) = D_{G'}(x_5) - D_G(x_5) = D_{G'}(x_6) - D_G(x_6) = 6b$ .

(I) For an edge  $v_x v_y \in E(F_1) \cup E(F_3)$ , we have

$$\frac{1}{\sqrt{D_{G'}(v_x)D_{G'}(v_y)}} > \frac{1}{\sqrt{D_G(v_x)D_G(v_y)}} \tag{1}$$

and

$$\frac{1}{\sqrt{D_{G'}(v_x)+D_{G'}(v_y)}} > \frac{1}{\sqrt{D_G(v_x)+D_G(v_y)}} \tag{2}$$

since  $D_G(v_x) > D_{G'}(v_x)$  and  $D_G(v_y) > D_{G'}(v_y)$ .

(II) In what follows, we consider an edge in  $\{x_1x_2, x_2x_3, x_3x_4, x_4x_5, x_5x_6, x_6x_1, x_1v_{k-1}, x_4u_{k+1}\}$ . Let  $M = \sum_{u \in F_1} d_G(x_1, u) + \sum_{u \in F_3} d_G(x_4, u) + \sum_{u \in F_2} d_G(x, u)$ , where  $x \in \{x_1, x_2, x_3, x_4, x_5, x_6\}$ . Then  $M = \sum_{u \in F_1} d_{G'}(x_1, u) + \sum_{u \in F_3} d_{G'}(x_3, u) + \sum_{u \in F_2} d_{G'}(x, u)$ . It can be checked directly that

$$\begin{aligned} D_G(x_1) &= M + 18bD_{G'}(x_1) = M + 12b \\ D_G(x_2) &= M + 6a + 12bD_{G'}(x_2) = M + 6a + 6b \\ D_G(x_3) &= M + 12a + 6bD_{G'}(x_3) = M + 12a \\ D_G(x_4) &= M + 18aD_{G'}(x_4) = M + 18a + 6b \\ D_G(x_5) &= M + 12a + 6bD_{G'}(x_5) = M + 12a + 12b \\ D_G(x_6) &= M + 6a + 12bD_{G'}(x_6) = M + 6a + 18b. \end{aligned}$$

(i) For the edges  $x_1v_{k-1}, x_4u_{k+1} \in E(G)$  and  $x_1v_{k-1}, x_3u_{k+1} \in E(G')$ , we have

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(v_{k-1})}} + \frac{1}{\sqrt{D_{G'}(x_3)D_{G'}(u_{k+1})}} > \frac{1}{\sqrt{D_G(x_1)D_G(v_{k-1})}} + \frac{1}{\sqrt{D_G(x_4)D_G(u_{k+1})}} \tag{3}$$

and

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(v_{k-1})}} + \frac{1}{\sqrt{D_{G'}(x_3)+D_{G'}(u_{k+1})}} > \frac{1}{\sqrt{D_G(x_1)+D_G(v_{k-1})}} + \frac{1}{\sqrt{D_G(x_4)+D_G(u_{k+1})}}. \tag{4}$$

since  $D_G(x_1) > D_{G'}(x_1)$ ,  $D_G(v_{k-1}) > D_{G'}(v_{k-1})$ ,  $D_G(x_4) > D_{G'}(x_3)$ ,  $D_G(u_{k+1}) > D_{G'}(u_{k+1})$ .

(ii) For the edges  $x_1x_6, x_3x_4 \in E(G)$ , we have  $D_{G'}(x_6) \geq D_{G'}(x_1) + 6b$ ,  $D_G(x_1) = D_{G'}(x_1) + 6b$  and  $D_G(x_6) = D_{G'}(x_6) - 6b$ . By Lemma 1, we can get

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(x_6)}} \geq \frac{1}{\sqrt{D_G(x_1)D_G(x_6)}} \tag{5}$$

and

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(x_6)}} \geq \frac{1}{\sqrt{D_G(x_1)+D_G(x_6)}} \tag{6}$$

Also,  $D_{G'}(x_4) \geq D_{G'}(x_3) + 6b$ ,  $D_G(x_3) = D_{G'}(x_3) + 6b$  and  $D_G(x_4) = D_{G'}(x_4) - 6b$ , by Lemma 1, we have

$$\frac{1}{\sqrt{D_{G'}(x_3)D_{G'}(x_4)}} \geq \frac{1}{\sqrt{D_G(x_3)D_G(x_4)}} \tag{7}$$

and

$$\frac{1}{\sqrt{D_{G'}(x_3)+D_{G'}(x_4)}} \geq \frac{1}{\sqrt{D_G(x_3)+D_G(x_4)}} \tag{8}$$

(iii) For the edges  $x_1x_2, x_4x_5 \in E(G)$ , let  $x = D_{G'}(x_1)$ ,  $y = D_{G'}(x_2)$ ,  $w = D_G(x_4)$ ,  $z = D_G(x_5)$ . Then  $D_G(x_1) = x + 6b$ ,  $D_G(x_2) = y + 6b$ ,  $D_{G'}(x_4) = w + 6b$ ,  $D_{G'}(x_5) = z + 6b$ . Note that  $w > x$ ,  $z > y$  and  $\frac{6b}{x} > \frac{6b}{w}$ ,  $\frac{6b}{y} > \frac{6b}{z}$ , by Lemma 3, we have

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(x_2)}} + \frac{1}{\sqrt{D_{G'}(x_4)D_{G'}(x_5)}} \geq \frac{1}{\sqrt{D_G(x_1)D_G(x_2)}} + \frac{1}{\sqrt{D_G(x_4)D_G(x_5)}} \tag{9}$$

Now, let  $r_1 = D_G(x_4) + D_G(x_5) = 2M + 30a + 6b$ ,  $r_2 = D_{G'}(x_4) + D_{G'}(x_5) = 2M + 30a + 18b$ ,  $t_1 = D_{G'}(x_1) + D_{G'}(x_2) = 2M + 6a + 18b$ ,  $t_2 = D_G(x_1) + D_G(x_2) = 2M + 6a + 30b$ . Then  $r_2 - r_1 = t_2 - t_1 = 12b > 0$ ,  $r_1 - t_1 = 24a - 12b > 0$  (since  $a \geq b > 0$ ). By Lemma 2, we have

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(x_2)}} + \frac{1}{\sqrt{D_{G'}(x_4)+D_{G'}(x_5)}} > \frac{1}{\sqrt{D_G(x_1)+D_G(x_2)}} + \frac{1}{\sqrt{D_G(x_4)+D_G(x_5)}} \tag{10}$$

(iv) For the edges  $x_2x_3, x_5x_6 \in E(G)$ , by the same ways as in (iii), we can get

$$\frac{1}{\sqrt{D_{G'}(x_2)D_{G'}(x_3)}} + \frac{1}{\sqrt{D_{G'}(x_5)D_{G'}(x_6)}} \geq \frac{1}{\sqrt{D_G(x_2)D_G(x_3)}} + \frac{1}{\sqrt{D_G(x_5)D_G(x_6)}} \tag{11}$$

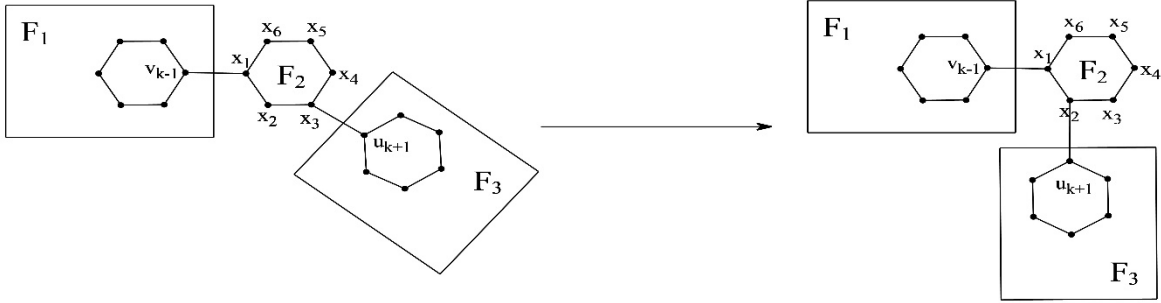
$$\frac{1}{\sqrt{D_{G'}(x_2)+D_{G'}(x_3)}} + \frac{1}{\sqrt{D_{G'}(x_5)+D_{G'}(x_6)}} > \frac{1}{\sqrt{D_G(x_2)+D_G(x_3)}} + \frac{1}{\sqrt{D_G(x_5)+D_G(x_6)}} \tag{12}$$

From Equations (1-12) and the definition of the Balaban index and the sum-Balaban index, we have  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ . ■

**The second cut-edge transformation on  $PPC_n$ :** Let  $G_n = H_1H_2 \cdots H_n (n \geq 3)$  be a polyphenyl hexagonal chain of length  $n$ .  $x_1$  and  $x_3$  are two cut-vertices in the  $k$ -th hexagon  $H_k$ , and the distance between  $x_1$  and  $x_4$  is 2. If  $G'$  is the graph obtained from  $G$  by deleting the cut edge  $x_3u_{k+1}$  between  $H_k$  and  $H_{k+1}$ , and adding a new cut-edge  $x_2u_{k+1}$  between  $H_k$  and  $H_{k+1}$  (see Figure 7), then we say that  $G'$  is obtained from  $G$  by the second cut-edge transformation.

**Lemma 5** Let  $G_n = H_1H_2 \cdots H_n (n \geq 3)$  be a polyphenyl hexagonal chain of length  $n$ .  $G'$  is obtained from  $G$  by the second cut-edge transformation. Then  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ .

**Proof.** Let  $F_1 = H_1 H_2 \cdots H_{k-1}$ ,  $F_2 = H_k$ ,  $F_3 = H_{k+1} H_{k+2} \cdots H_n$ . The length of  $F_1$  is  $a = k - 1$  and the length of  $F_3$  is  $b = n - k$ . Obviously,  $a + b = n - 1$ . Without loss of generality, let  $a \geq b$ .



**Figure 7:** The second cut-edge transformation.

For a vertex  $v_x \in F_1$ , we have

$$D_G(v_x) = \sum_{u \in F_1} d_G(v_x, u) + \sum_{u \in F_2} d_G(v_x, u) + \sum_{u \in F_3} d_G(v_x, u),$$

$$D_{G'}(v_x) = \sum_{u \in F_1} d_{G'}(v_x, u) + \sum_{u \in F_2} d_{G'}(v_x, u) + \sum_{u \in F_3} d_{G'}(v_x, u)$$

and  $\sum_{u \in F_1} d_G(v_x, u) = \sum_{u \in F_1} d_{G'}(v_x, u)$ ,  $\sum_{u \in F_2} d_G(v_x, u) = \sum_{u \in F_2} d_{G'}(v_x, u)$ ,  $\sum_{u \in F_3} d_G(v_x, u) = \sum_{u \in F_3} d_{G'}(v_x, u) + 6b$ . So,  $D_G(v_x) - D_{G'}(v_x) = 6b$  and  $D_G(v_x) > D_{G'}(v_x)$ . For a vertex  $v_y \in F_3$ , we have

$$D_G(v_y) = \sum_{u \in F_1} d_G(v_y, u) + \sum_{u \in F_2} d_G(v_y, u) + \sum_{u \in F_3} d_G(v_y, u),$$

$$D_{G'}(v_y) = \sum_{u \in F_1} d_{G'}(v_y, u) + \sum_{u \in F_2} d_{G'}(v_y, u) + \sum_{u \in F_3} d_{G'}(v_y, u)$$

and  $\sum_{u \in F_3} d_G(v_y, u) = \sum_{u \in F_3} d_{G'}(v_y, u)$ ,  $\sum_{u \in F_2} d_G(v_y, u) = \sum_{u \in F_2} d_{G'}(v_y, u)$ ,  $\sum_{u \in F_1} d_G(v_y, u) = \sum_{u \in F_1} d_{G'}(v_y, u) + 6a$ . So,  $D_G(v_y) - D_{G'}(v_y) = 6a$  and  $D_G(v_y) > D_{G'}(v_y)$ . For a vertex in  $F_2 = \{x_1, x_2, x_3, x_4, x_5, x_6\}$ , let

$M = \sum_{u \in F_1} d_G(x_1, u) + \sum_{u \in F_3} d_G(x_2, u) + \sum_{u \in F_2} d_G(x, u) = \sum_{u \in F_1} d_{G'}(x_1, u) + \sum_{u \in F_3} d_{G'}(x_2, u) + \sum_{u \in F_2} d_{G'}(x, u)$ , where  $x \in \{x_1, x_2, x_3, x_4, x_5, x_6\}$ . It can be checked directly that

$$D_G(x_1) = M + 12bD_{G'}(x_1) = M + 6b$$

$$D_G(x_2) = M + 6a + 6bD_{G'}(x_2) = M + 6a$$

$$D_G(x_3) = M + 12aD_{G'}(x_3) = M + 12a + 6b$$

$$D_G(x_4) = M + 18a + 6bD_{G'}(x_4) = M + 18a + 12b$$

$$D_G(x_5) = M + 12a + 12bD_{G'}(x_5) = M + 12a + 18b$$

$$D_G(x_6) = M + 6a + 18bD_{G'}(x_6) = M + 6a + 12b.$$

(I) For an edge  $v_x v_y \in E(F_1) \cup E(F_3)$ , we have  $D_G(v_x) > D_{G'}(v_x)$ ,  $D_G(v_y) > D_{G'}(v_y)$ . So,



$$\frac{1}{\sqrt{D_{G'}(v_x)D_{G'}(v_y)}} > \frac{1}{\sqrt{D_G(v_x)D_G(v_y)}} \tag{13}$$

and

$$\frac{1}{\sqrt{D_{G'}(v_x)+D_{G'}(v_y)}} > \frac{1}{\sqrt{D_G(v_x)+D_G(v_y)}} \tag{14}$$

(II) In what follows, we consider an edge in  $\{x_1x_2, x_2x_3, x_3x_4, x_4x_5, x_5x_6, x_6x_1, x_1v_{k-1}, x_3u_{k+1}\}$ .

(i) For the edges  $x_1v_{k-1}, x_3u_{k+1} \in E(G)$  and  $x_1v_{k-1}, x_2u_{k+1} \in E(G')$ , it is easy to know that  $D_G(x_1) > D_{G'}(x_1)$ ,  $D_G(v_{k-1}) > D_{G'}(v_{k-1})$ ,  $D_G(x_3) > D_{G'}(x_2)$ ,  $D_G(u_{k+1}) > D_{G'}(u_{k+1})$ . And

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(v_{k-1})}} + \frac{1}{\sqrt{D_{G'}(x_2)D_{G'}(u_{k+1})}} > \frac{1}{\sqrt{D_G(x_1)D_G(v_{k-1})}} + \frac{1}{\sqrt{D_G(x_3)D_G(u_{k+1})}}, \tag{15}$$

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(v_{k-1})}} + \frac{1}{\sqrt{D_{G'}(x_2)+D_{G'}(u_{k+1})}} > \frac{1}{\sqrt{D_G(x_1)+D_G(v_{k-1})}} + \frac{1}{\sqrt{D_G(x_3)+D_G(u_{k+1})}}. \tag{16}$$

(ii) For the edges  $x_2x_3, x_5x_6 \in E(G)$ , because  $D_{G'}(x_3) > D_{G'}(x_2) + 6b$ , by Lemma 1, we have

$$\frac{1}{\sqrt{D_{G'}(x_2)D_{G'}(x_3)}} \geq \frac{1}{\sqrt{D_G(x_2)D_G(x_3)}} \tag{17}$$

and

$$\frac{1}{\sqrt{D_{G'}(x_2)+D_{G'}(x_3)}} = \frac{1}{\sqrt{D_G(x_2)+D_G(x_3)}}. \tag{18}$$

Also, because  $D_{G'}(x_5) = D_{G'}(x_6) + 6b$ , by Lemma 1, we have

$$\frac{1}{\sqrt{D_{G'}(x_5)D_{G'}(x_6)}} \geq \frac{1}{\sqrt{D_G(x_5)D_G(x_6)}} \tag{19}$$

and

$$\frac{1}{\sqrt{D_{G'}(x_5)+D_{G'}(x_6)}} = \frac{1}{\sqrt{D_G(x_5)+D_G(x_6)}}. \tag{20}$$

(iii) For the edges  $x_1x_2, x_3x_4 \in E(G)$ , let  $x = D_{G'}(x_2)$ ,  $y = D_{G'}(x_1)$ ,  $w = D_G(x_3)$ ,  $z = D_G(x_4)$ , then  $x + 6b = D_G(x_2)$ ,  $y + 6b = D_G(x_1)$ ,  $w + 6b = D_{G'}(x_3)$ ,  $z + 6b = D_{G'}(x_4)$ . Note that  $w > x$ ,  $z > y$ ,  $\frac{6b}{x} > \frac{6b}{w}$ ,  $\frac{6b}{y} > \frac{6b}{z}$ , by Lemma 3, we have

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(x_2)}} + \frac{1}{\sqrt{D_{G'}(x_3)D_{G'}(x_4)}} > \frac{1}{\sqrt{D_G(x_1)D_G(x_2)}} + \frac{1}{\sqrt{D_G(x_3)D_G(x_4)}}. \tag{21}$$

Let  $r_1 = D_G(x_3) + D_G(x_4) = 2M + 30a + 6b$ ,  $r_2 = D_{G'}(x_3) + D_{G'}(x_4) = 2M + 30a + 18b$ ,  $t_1 = D_{G'}(x_1) + D_{G'}(x_2) = 2M + 6a + 6b$ ,  $t_2 = D_G(x_1) + D_G(x_2) = 2M + 6a + 18b$ . Then  $r_2 - r_1 = t_2 - t_1 = 12b > 0$ ,  $r_1 - t_1 = 24a > 0$ . By Lemma 2, we have

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(x_2)}} + \frac{1}{\sqrt{D_{G'}(x_3)+D_{G'}(x_4)}} \geq \frac{1}{\sqrt{D_G(x_1)+D_G(x_2)}} + \frac{1}{\sqrt{D_G(x_3)+D_G(x_4)}} \tag{22}$$

(iv) For the edges  $x_1x_6, x_4x_5 \in E(G)$ , by the same way as in (iii), we have

$$\frac{1}{\sqrt{D_{G'}(x_1)D_{G'}(x_6)}} + \frac{1}{\sqrt{D_{G'}(x_4)D_{G'}(x_5)}} \geq \frac{1}{\sqrt{D_G(x_1)D_G(x_6)}} + \frac{1}{\sqrt{D_G(x_4)D_G(x_5)}}, \tag{23}$$

$$\frac{1}{\sqrt{D_{G'}(x_1)+D_{G'}(x_6)}} + \frac{1}{\sqrt{D_{G'}(x_4)+D_{G'}(x_5)}} > \frac{1}{\sqrt{D_G(x_1)+D_G(x_6)}} + \frac{1}{\sqrt{D_G(x_4)+D_G(x_5)}}. \tag{24}$$

From Equations (13–24) and the definitions of the Balaban index and the sum-Balaban index, we have  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ . ■

Using the transformations above, we can get the extremal graphs for the (sum-) Balaban index on polyphenyl hexagonal chains.

**Theorem 6** *Let  $PPC_n$  be a polyphenyl hexagonal chain of length  $n$ . Then*

$$J(P_n) \leq J(PPC_n) \leq J(O_n), \quad SJ(P_n) \leq SJ(PPC_n) \leq SJ(O_n),$$

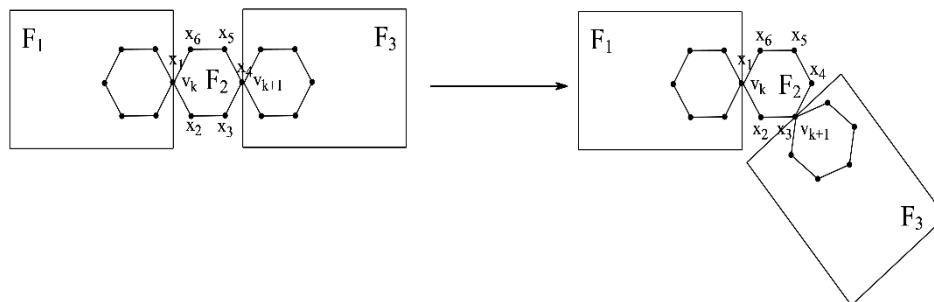
with equalities if and only if  $PPC_n = O_n$ ,  $PPC_n = P_n$ , respectively.

**Proof.** Suppose on the contrary that  $G = H_1H_2 \cdots H_n (n \geq 3)$ , a polyphenyl hexagonal chain of length  $n$ , has the maximum (sum-) Balaban index, and  $G \not\cong O_n$ . Then there is  $1 < k < n$  such that the distance between two cut-vertices  $u_k$  and  $v_k$ , which belongs to the  $k$ -th hexagon  $H_k$ , is 2 or 3. Let  $G'$  be the graph obtained from  $G$  by using the first or the second cut-edge transformation. By Lemmas 4 and 5, we have  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ , a contradiction. So,  $O_n$  is the unique graph with the maximum (sum-) Balaban index. Similarly, we can show that  $P_n$  is the unique graph with the minimum (sum-) Balaban index. ■

### 3. (SUM-) BALABAN INDEX OF SPIRO HEXAGONAL CHAINS

As in the last section, we first give two transformations on  $SPC_n$ .

**The first cut-vertex transformation on  $SPC_n$ :** Let  $G = \overline{H}_1\overline{H}_2 \cdots \overline{H}_n (n \geq 3)$  be a spiro hexagonal chain of length  $n$ ,  $v_k = x_1$  and  $v_{k+1} = x_4$  are two cut-vertices in  $k$ -th hexagon  $\overline{H}_k$ . If  $G'$  is the graph obtained from  $G$  by taking two cut-vertices  $v_k = x_1$  and  $v_{k+1} = x_3$  in  $k$ -th hexagon  $\overline{H}_k$ , then we say that  $G'$  is obtained from  $G$  by the first cut-vertex transformation, see Figure 8.



**Figure 8:** The first cut-vertex transformation.

**Lemma 7** Let  $G = \overline{H}_1\overline{H}_2 \cdots \overline{H}_n (n \geq 3)$  be a spiro hexagonal chain of length  $n$ .  $G'$  is obtained from  $G$  by the first cut-vertex transformation. Then  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ .

**Proof.** Let  $F_1 = \overline{H}_1\overline{H}_2 \cdots \overline{H}_{k-1}$ ,  $F_2 = \overline{H}_k$ ,  $F_3 = \overline{H}_{k+1}\overline{H}_{k+2} \cdots \overline{H}_n$  in Figure 8.  $V(F_2) = \{x_1, x_2, x_3, x_4, x_5, x_6\}$  and the length of  $F_1$  and  $F_3$  is  $a$  and  $b$ , respectively,  $a + b = n - 1$ . Let  $M = \sum_{u \in F_1} d_G(x_1, u) + \sum_{u \in F_3} d_G(x_4, u) + \sum_{u \in F_2} d_G(x, u)$ , where  $x \in V(F_2)$ . Then  $M = \sum_{u \in F_1} d_{G'}(x_1, u) + \sum_{u \in F_3} d_{G'}(x_3, u) + \sum_{u \in F_2} d_{G'}(x, u)$ .

For a vertex  $v_x \in F_1$ , we have

$$D_G(v_x) = \sum_{u \in F_1} d_G(v_x, u) + \sum_{u \in F_2} d_G(v_x, u) + \sum_{u \in F_3} d_G(v_x, u),$$

$$D_{G'}(v_x) = \sum_{u \in F_1} d_{G'}(v_x, u) + \sum_{u \in F_2} d_{G'}(v_x, u) + \sum_{u \in F_3} d_{G'}(v_x, u),$$

and  $\sum_{u \in F_1} d_G(v_x, u) = \sum_{u \in F_1} d_{G'}(v_x, u)$ ,  $\sum_{u \in F_2} d_G(v_x, u) = \sum_{u \in F_2} d_{G'}(v_x, u)$ ,  $\sum_{u \in F_3} d_G(v_x, u) = \sum_{u \in F_3} d_{G'}(v_x, u) + 6b$ . So,  $D_G(v_x) - D_{G'}(v_x) = 6b$  and  $D_G(v_x) > D_{G'}(v_x)$ . Similarly, we have  $D_G(v_y) - D_{G'}(v_y) = 6a$  for a vertex  $v_y \in F_3$ . For a vertex in  $V(F_2) = \{x_1, x_2, x_3, x_4, x_5, x_6\}$ , it can be check directly that

$$D_G(x_1) = M + 18b, D_{G'}(x_1) = M + 12b$$

$$D_G(x_2) = M + 6a + 12b, D_{G'}(x_2) = M + 6a + 6b$$

$$D_G(x_3) = M + 12a + 6b, D_{G'}(x_3) = M + 12a$$

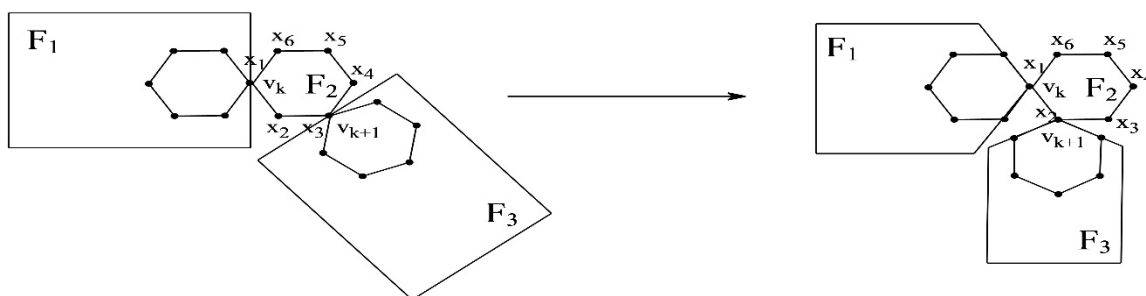
$$D_G(x_4) = M + 18a, D_{G'}(x_4) = M + 18a + 6b$$

$$D_G(x_5) = M + 12a + 6b, D_{G'}(x_5) = M + 12a + 12b$$

$$D_G(x_6) = M + 6a + 12b, D_{G'}(x_6) = M + 6a + 18b.$$

Using the method as in Lemma 4, we can get Lemma 7. ■

**The second cut-vertex transformation on  $SPC_n$ :** Let  $G = \overline{H}_1\overline{H}_2 \cdots \overline{H}_n (n \geq 3)$  be a spiro hexagonal chain of length  $n$ ,  $v_k = x_1$  and  $v_{k+1} = x_3$  are two cut-vertices in  $k$ -th hexagon  $\overline{H}_k$ . If  $G'$  is the graph obtained from  $G$  by taking two cut-vertices  $v_k = x_1$  and  $v_{k+1} = x_2$  in  $k$ -th hexagon  $\overline{H}_k$ , then we say that  $G'$  is obtained from  $G$  by the second cut-vertex transformation (see Figure 9).



**Figure 9:** The second cut-vertex transformation.

**Lemma 8** Let  $G = \overline{H}_1\overline{H}_2 \cdots \overline{H}_n$  ( $n \geq 3$ ) be a spiro hexagonal chain of length  $n$ .  $G'$  is obtained from  $G$  by the second cut-vertex transformation. Then  $J(G) < J(G')$  and  $SJ(G) < SJ(G')$ .

**Proof.** The proof is similar to Lemma 5, we omit it here.

Using the first and the second cut-vertex transformations and Lemmas 7-8, we can directly obtain the following result, which determines the extremal graphs for the (sum-) Balaban index on spiro hexagonal chains.

**Theorem 9** Let  $SPC_n$  be a spiro hexagonal chain of length  $n$ . Then

$$J(SP_n) \leq J(SPC_n) \leq J(SO_n) \text{ and } SJ(SP_n) \leq SJ(SPC_n) \leq SJ(SO_n),$$

with equalities if and only if  $SPC_n = SO_n$  and  $SPC_n = SP_n$ , respectively.

Theorem 9 also shows that  $SO_n$  and  $SP_n$  are the unique graph with the maximum and the minimum (sum-) Balaban index among all spiro hexagonal chains of length  $n$ .

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